



Section #  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

In re Patent Application of: **Jiashu CHEN**

Attorney Docket Number: **73-853**

APPLICATION No.: **09/190,207**

FILED: **November 13, 1998**

TITLE: **METHOD AND APPARATUS FOR REGULARIZING  
MEASURED HRTF FOR SMOOTH 3D DIGITAL  
AUDIO**

**Attention: Box Missing Parts**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

**SIR:**

In response to the Notice to File Missing Parts of Application Filing Date Granted dated December 3, 1998, t  
Applicant submits herewith the following papers in response relating to the above-captioned application for patent:

Declaration and Power of Attorney - 4 pages.

An Assignment (2 pages) with a Cover Sheet (in duplicate)

Substitute Specification (including claims and abstract) - 15 pages

Marked up copy of Specification (including claims and abstract) - 17 pages

No new claims are added herein. Thus, no additional claim fee is due.

**Petition for Extension of Time under 37 C.F.R. 1.136(a)** Applicant hereby petitions the  
Commissioner for an **two month** extension of time to file a respond under 37 C.F.R. 1.136(a) in the  
above identified application. The petition fee in the amount of **\$380.00** is enclosed.

The commissioner is hereby authorized to charge any additional fees required under 37 C.F.R.  
1.16 or any patent application processing fees under 37 C.F.R. 1.17 associated with this communication,  
or credit any overpayment to **Deposit Account No. 12-2325 under order No. CHEN-4.**

Respectfully submitted,

William H. Bollman

Reg. No.: 36,457

Attorney for Applicant(s)

04/09/1999 MMARMOL 00000007 122325 09190207

01 FC:116 380.00 CH

Date: April 5, 1999

**Farkas & Manelli, PLLC  
2000 M Street, N.W. Suite 700  
Washington, DC 20036-3307  
(202) 261-1020**



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/190,207

11/13/98

CHEN

CHEN-4

FARKAS & MANELLI  
2000 M STREET, N.W.  
SUITE 700  
WASHINGTON DC 20036-3307

0222/ 20 APR 05 1999

NOT ASSIGNED

DATE MAILED:

12/03/98

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☒ small entity (statement filed) ☐ non-small entity is \$ 130

☒ 1. The statutory basic filing fee is:

☒ missing

☒ insufficient

Applicant must submit \$ 10 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 10, including any multiple dependent claim fees, are required.

\$          for          independent claims over 3.

\$          for          dependent claims over 20.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

Best Available Copy

1998 MAR 06 09:19:03  
00000000 120325 09190327  
130.00 CH  
405